

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company	L99999MH1976PLC019331	<b>Pre-fill</b>						
Global Location Number (GLN) of the company								
* Permanent Account Number (PAN) of the company	AAACS6430P							
(ii) (a) Name of the company	SUNIL INDUSTRIES LIMITED							
(b) Registered office address	D 8 MIDC PHASE IIMANPADA ROAD DOMBIVLI (EAST) THANE Maharashtra 421201							
(c) *e-mail ID of the company	info@sunilgroup.com							
(d) *Telephone number with STD code	0222870749							
(e) Website	www.sunilgroup.com							
(iii) Date of Incorporation	19/11/1976							
(iv)	<table border="1"><thead><tr><th>Type of the Company</th><th>Category of the Company</th><th>Sub-category of the Company</th></tr></thead><tbody><tr><td>Public Company</td><td>Company limited by shares</td><td>Indian Non-Government company</td></tr></tbody></table>		Type of the Company	Category of the Company	Sub-category of the Company	Public Company	Company limited by shares	Indian Non-Government company
Type of the Company	Category of the Company	Sub-category of the Company						
Public Company	Company limited by shares	Indian Non-Government company						
(v) Whether company is having share capital	<input checked="" type="radio"/> Yes	<input type="radio"/> No						
(vi) *Whether shares listed on recognized Stock Exchange(s)	<input checked="" type="radio"/> Yes	<input type="radio"/> No						

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

**(i) \*SHARE CAPITAL****(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000	4,200,000	4,200,000	4,200,000
Total amount of equity shares (in Rupees)	50,000,000	42,000,000	4,200,000	41,984,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	5,000,000	4,200,000	4,200,000	4,200,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000	42,000,000	4,200,000	41,984,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	1,715,300	2,484,700	4200000	42,000,000	41,984,000	

<b>Increase during the year</b>	0	1,800	1800	0	0	0
i. Public Issues	0		0			
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>		1,800	1800			
<b>Decrease during the year</b>	1,800	0	1800	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>	1,800		1800			
<b>At the end of the year</b>	1,713,500	2,486,500	4200000	42,000,000	41,984,000	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<input type="text"/>						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/> Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \* Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

886,123,147

(ii) Net worth of the Company

368,804,418

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,178,600	28.06	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,292,100	30.76	0	
10.	Others	0	0	0	
	<b>Total</b>	2,470,700	58.82	0	0

**Total number of shareholders (promoters)**

32

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,318,000	31.38	0	
	(ii) Non-resident Indian (NRI)	233,600	5.56	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	300	0.01	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	4,800	0.11	0	



8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	160,900	3.83	0	
10.	Others Office Bearers	11,700	0.28	0	
	<b>Total</b>	1,729,300	41.17	0	0

**Total number of shareholders (other than promoters)**

6,359

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

6,391

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	32	32
Members (other than promoters)	6,414	6,359
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	0	2	0	7.99	0
<b>B. Non-Promoter</b>	1	3	1	3	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	3	3	3	3	7.99	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
VINOD GAJANAND LA <sup>+</sup>	00064774	Managing Director	322,200	
PRADEEP ROONGTA ( <sup>+</sup>	00130283	Whole-time directo	13,600	
RAMESH CHAMANLAL <sup>+</sup>	00130351	Whole-time directo	0	
RAJESH SITARAM TIB <sup>+</sup>	00130509	Director	0	
ROHIT GADIA	02175342	Director	0	
SHRUTI RITESH SARA <sup>+</sup>	07521927	Director	0	
SOURABH SAHU	FZIPS1641M	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/09/2020	6,428	40	34.75

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/05/2020	6	6	100
2	30/07/2020	6	6	100
3	20/08/2020	6	6	100
4	28/08/2020	6	6	100
5	10/11/2020	6	6	100
6	13/02/2021	6	6	100

### C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/05/2020	4	4	100
2	AUDIT COMM	30/07/2020	4	4	100
3	AUDIT COMM	20/08/2020	4	4	100
4	AUDIT COMM	28/08/2020	4	4	100
5	AUDIT COMM	10/11/2020	4	4	100
6	AUDIT COMM	13/02/2021	4	4	100
7	NOMINATION	28/08/2020	3	3	100
8	NOMINATION	13/02/2021	3	3	100
9	STAKEHOLDER	30/05/2020	4	4	100
10	STAKEHOLDER	30/07/2020	4	4	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on  (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	VINOD GAJAI	6	6	100	5	5	100	
2	PRADEEP ROONG	6	6	100	6	6	100	
3	RAMESH KHANNA	6	6	100	5	5	100	
4	RAJESH SITA	6	6	100	14	14	100	
5	ROHIT GADIA	6	6	100	14	14	100	
6	SHRUTI RITE	6	6	100	9	9	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VINOD LATH	MANAGING DIRECTOR	1,200,000	0	0	0	1,200,000
2	PRADEEP ROONG	WHOLE TIME DIRECTOR	1,200,000	0	0	0	1,200,000
3	RAMESH KHANNA	WHOLE TIME DIRECTOR	518,654	0	0	0	518,654
	Total		2,918,654	0	0	0	2,918,654

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sourabh Sahu	Company Secretary	227,400	0	0	0	227,400
	Total		227,400	0	0	0	227,400

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

4

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)
Sunil Industries Lin	Regional Director,	01/09/2020	203 of the Companies	Non-Appointment c	100,000
Vinod Lath	Regional Director,	01/09/2020	203 of the Companies	Non-Appointment c	133,900
Ramesh Khanna	Regional Director,	01/09/2020	203 of the Companies	Non-Appointment c	133,900
Pradeep Roongta	Regional Director,	01/09/2020	203 of the Companies	Non-Appointment c	133,900

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

CS Hemant S. Shetye

Whether associate or fellow

Associate  Fellow

Certificate of practice number

1483

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

Sunil-List of Shareholders-2021.pdf  
Sunil- Transfer List-2021.pdf  
Details of Committee Meeting-2021.pdf  
Declaration.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



**Details of Committee Meetings held during Financial Year 2020-2021**

Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
			Number of members attended	% of attendance
<b>Audit Committee</b>	30.05.2020	4	4	100
	30.07.2020	4	4	100
	20.08.2020	4	4	100
	28.08.2020	4	4	100
	10.11.2020	4	4	100
	13.02.2021	4	4	100
<b>Stakeholders Relationship committee</b>	30.05.2020	4	4	100
	30.07.2020	4	4	100
	28.08.2020	4	4	100
	10.11.2020	4	4	100
	13.02.2021	4	4	100
<b>Nomination and Remuneration</b>	28.08.2020	3	3	100
	14.02.2021	3	3	100
<b>Independent Directors Meeting</b>	13.02.2021	3	3	100



### **DECLARATION**

In connection with Annual Return (e-form MGT-7) of **SUNIL INDUSTRIES LIMITED (CIN: L99999MH1976PLC019331)** pertaining to the financial year 2020-2021, we hereby state that

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The Compounding fees paid by the Company along with its 3 Directors as imposed by Honorable Regional Director, Western Region, of Rs. 5,01,700/- (Five Lakh One Thousand Seven Hundred Only) on 27.08.2020 is for the violation of Section 203 of the Companies Act, 2013 towards non-appointment of Company Secretary during the financial year 2019-2020. However, the Company has made the default good by appointment of Company Secretary and Compliance officer and is complied to Section 203 of the Companies Act, 2013 in the financial year 2020-2021.

Further, during the year, the Company has also paid Penalty of Rs. 1,08,560/- and Rs. 53,100/- as imposed by Securities Exchange Board of India (SEBI) for violation of Reg. 6 of SEBI (Listing Obligations and Disclosures Regulations) Requirement, 2015 towards non- appointment of Company Secretary cum Compliance officer which is not mentioned in the Annual Return-2020-2021.

**FORM NO. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]*

**Certificate by a Company Secretary in Practice**

We have examined the registers, records and books and papers **Sunil Industries Limited [CIN- L99999MH1976PLC019331]** (the Company) as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made thereunder for the financial year ended on 31<sup>st</sup> March 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A.** The annual return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B.** During the aforesaid financial year, the company has complied with provisions of the Act & Rules made there under in respect of:
- 1. Its status under the Act:** The Company is a Public Limited Company (Listed Company) (as defined in the Companies Act, 2013)
  - 2.** The Company has maintained registers/records & made entries therein within the time prescribed.
  - 3.** Filing of forms and returns are not stated in the Annual Return however the company has filed various E-Forms with the Registrar of Companies and wherever there was a delay the company has paid the requisite additional filing Fees.
  - 4.** Calling, convening and holding meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the Annual Return in respect of which, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book and registers maintained for the purpose and the same have been signed, further the Company was not required to pass any resolution by postal ballot during the financial year under review;
  - 5.** The Company had closed its Register of Members from, Tuesday, 22<sup>nd</sup> September, 2020 to Monday, 28<sup>th</sup> September, 2020 (both days inclusive) during the financial year under review;
  - 6.** The Company has not advanced any loans to its directors and/ or persons or firms or companies referred in section 185 of the Act;

7. The company has entered into transaction with related parties within the limits as approved by an ordinary Resolution of the Members of the Company at their Annual General Meeting held on 28<sup>th</sup> September, 2019.
8. There was no issue or allotment or buy back of securities, and there are no preference shares or debentures in the Company, and there was no alteration or reduction of share capital or conversion of shares/securities. Further share transfer/transmission were done by the Registrar and Share transfer Agent M/s Link Intime India Pvt Ltd and they issued the share certificates in all instances wherever required.
9. There were no transaction necessitating the Company to keep in abeyance the rights to dividend, right shares, and bonus shares pending registration of transfer of shares.
10. The Company has not declared dividend/interim dividend. Further, there was no unpaid/ unclaimed dividend/ other amounts outstanding during the financial year required to be transferred to the Investor Education and Protection Fund in accordance with section 125 of the Act.
11. The Audited Financial Statement of the Company were signed by Managing Director and CFO of the Company and Directors Report was signed by the Chairman as per section 134 of the Act.
12. There were No changes in the Composition of Board of Directors of the Company. Further there was a change in Remuneration of Directors and Key Managerial Personnel (KMP) as compared to previous financial year.
  - 12.1 Mr. Pradeep Roongta retired by rotation and being eligible was re-appointed at the Annual General Meeting held on 28<sup>th</sup> September, 2020.
13. There is no such instance of the casual vacancies of the Statutory auditor, further the Company has appointed M/s P R Agarwal & Awasthi, Chartered Accountants (FRN 117940W) as Statutory Auditors of the Company for a period of 5 years at their Annual General Meeting held on 26<sup>th</sup> September, 2017 as per the provisions of Section 139 of the Act.
14. The Company was not required to obtain any approvals from the Central Government, Tribunal, Regional Director, Registrar of Companies, Court or such other authorities under various provisions of the Act as there were no such specific instances during the financial year. However, the Company along with its 3 Directors has paid aggregate Compounding fees of Rs. 5,01,700/- [Five Lakh One Thousand Seven Hundred] on 27.08.2020 for violation of Section 203 of the Companies Act, 2013 towards non-appointment of Company Secretary as per the compounding orders of the Honorable Regional Director Western Region, Ministry of Corporate affairs dated 01<sup>st</sup> September, 2020.

15. The Company has not accepted/ renewed/ repaid any deposits during the period under review.

16. During the period under review the borrowing made by the Company from public financial institutions, banks and others during the period under review is within the limit of Rs. 300 Crores as per the approval obtained by the Company under section 180(1)(c) of the Companies Act, 2013 from its shareholders vide special resolution at the Annual General Meeting held on 27<sup>th</sup> September, 2018. Further the Company has not created or satisfied any charge during the year.

17. During the period under review Company has not granted any loans or given any guarantee or provided any security to other bodies corporate or persons falling under the provisions of section 186 of the Act.

Further during the period under review Company has made investments falling under the provisions of section 186 of the Act.

18. The Company has not altered any of the provisions of the Memorandum and Articles of Association during the period of review.

**Place: Mumbai**

**Date:**

**ICSI UDIN:**

**For HS Associates,  
Company Secretaries**

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**HEMANT SHETYE  
(PARTNER)  
FCS No. 2827  
COP No. 1483**